

INSTRUCTIONS ON COMPLETING THIS ELECTRONIC FORM

☐ I have read and understand these instructions

1. Under “File” on the toolbar, click on “Save As” to FIRST save this form to your computer.
2. The input fields can be navigated FORWARD by tabbing, using the right or down arrows on the keyboard, or by left clicking on the field. Should you wish to move BACK in the form, use the left or up arrows, or left click on the field.
3. Should a box need to be checked, such as for a “Yes” or “No” answer, left click on the appropriate box. An “X” should appear. To remove the “X”, click it again.
4. **Before completing this application, please read through the entire form.** If Part I and Part II are submitted separately, Part II must be received by the Maryland Higher Education Commission within 6 months of Part I.
5. Once the application has been completed, save it a final time. Save it and all supporting documents to an electronic storage device, AND print everything out in its entirety. ***TWO copies of the application are required: one submitted as hard copy, and one submitted electronically on an electronic storage device (CD, DVD, flash drive).*** Sign all required pages. Maintain a complete copy of the application for yourself.
6. Organize the hard-copy application in 3-ring binders with TABS for each section. Separate 3-ring binders may be necessary for curriculum materials. Use divider pages/tabs to separate the sections and attachments required under each section of this application. Do NOT put pages in plastic sleeves.
7. Organize the electronic copy of the entire application and supporting materials in the order presented in the application. Electronic documents should only be in PDF or MS Word format.
8. Only one copy of each book/textbook is required.
9. When additional pages are necessary to answer an item, or if attachments are required, include them under the appropriate section TAB or reference them accordingly by identifying the section and number of the item being answered.
10. Mail all of the above application materials to the Associate Director of Career and Workforce Education, Maryland Higher Education Commission, 6 N. Liberty Street, 10th Floor, Baltimore, Maryland 21201, attention Private Career Schools. Label the package “NEW SCHOOL APPLICATION”.
11. Should you have questions, contact MHEC private career school staff at PCS.MHEC@maryland.gov, or 410-767-3301. (Web site: www.mhec.state.md.us)

TIPS ABOUT THE APPLICATION

Financial Resources	<p>An applicant must demonstrate that there are sufficient financial resources to operate a school.</p> <p>A new school may choose to provide from either of the following two options if the school collects tuition in multiple installments from or on behalf of students:</p> <ul style="list-style-type: none"> Financial guarantee in the form of an irrevocable letter of credit or a renewable performance bond that is sufficient to cover 100% of the tuition liability of the proposed school <p style="text-align: center;">OR</p> <ul style="list-style-type: none"> A financial statement that is reviewed or audited by an independent certified public accountant, and A financial guarantee in the form of an irrevocable letter of credit or a renewable performance bond that is sufficient to cover 50 % of the tuition liability of the proposed school unless the Secretary determines that financial conditions warrant a greater amount. <p>A new school that does not collect tuition in multiple installments from or on behalf of its students must provide:</p> <ul style="list-style-type: none"> A financial guarantee in the form of an irrevocable letter of credit or a renewable performance bond that is sufficient to cover 100% of the tuition liability of the proposed school.
Related Application Costs	<p>The following <u>must</u> be submitted with the application:</p> <ul style="list-style-type: none"> <u>Application Fee</u>: (1) A \$300 non-refundable application fee OR (2) for applicants seeking approval of more than 3 programs, a non-refundable application fee that totals \$300 plus \$100 for each program over three. <u>GSTF Payment</u>: A payment of at least \$2,500 to the Guaranty Student Tuition Fund. <u>Financial Guarantee</u>: (Described above under Financial Resources)
Market Demand	<p>Evidence will be required to substantiate that a labor market demand exists in Maryland for proposed program(s)</p>
Curriculum	<p>The curriculum is the cornerstone of the school. It is essential that it receive a great deal of careful planning.</p>
School Catalog and Enrollment Contract	<p>The catalog and enrollment contract are key documents that provide important information about the school. Refer to the enclosed Catalog and Enrollment Agreement Checklists to ensure that all required information is included in the submitted documents. Note that all private career schools are required to establish and disclose in their catalogs the following:</p> <ul style="list-style-type: none"> Admission requirements for each program to ensure that prospective students have the ability to benefit from the training; Graduation requirements for each program (including a requirement that a student shall have a minimum attendance rate of 80 percent of the total program, in order to be graduated from a program); A grading system and standards of satisfactory academic progress.

Application PART I Cover Page

**APPLICATION FOR INITIAL APPROVAL TO OPERATE
A PRIVATE CAREER SCHOOL
PART I**

1. Name of School: _____

2. Address: _____
Street City State Zip

3. Telephone #: _____

4. Fax #: _____

5. E-mail: _____

6. Website: _____

7. Contact Information:

7a. Primary person to contact about Application:

7b. Title:

7c. Mailing address if other than above:

7d. Telephone number if other than above:

Date Application Received by MHEC: _____

MHEC-W:\PCS Forms (Rev. 03/2015)

PART I, TAB A Cover Page

(All required materials for Section A should be included, in order, after this page.)

A. BACKGROUND INFORMATION

1. Attach a description of any professional experience that the applicant has relevant to the operation of the proposed school.
2. Indicate whether the proposed school will be directly or indirectly affiliated with any other school(s).
☐ Yes ☐ No
3. If yes, attach the following:
 - a. A description of the relationship between the proposed school and its affiliate school(s).
 - b. A school catalog for each affiliate school.

PART I, TAB B Cover Page

(All required materials for Section B should be included, in order, after this page.)

B. OWNERSHIP AND ORGANIZATION

1. Identify the type of ownership of the proposed school.
 - a. ☐ Corporation.
 - b. ☐ Sole proprietorship.
 - c. ☐ Partnership.
 - d. ☐ Other (please specify: _____)
2. If the school is incorporated, attach the following:
 - a. Copy of incorporation papers and documentation of their approval by the Maryland State Department of Assessment and Taxation.
 - b. List of corporate officers.
 - c. List of the stockholders who own 10% or more of the voting stock, and the percentage of ownership for each.
3. If the school is approved to operate in another state, attach documents that provide evidence that the school has been in good standing with all required state approving agencies for at least the past 12 months.
4. If the school or its program is accredited, attached documents that provide evidence that the school has been in good standing with the accrediting body for at least the past 12 months.
5. Disclose if the school, owners, or ownership are or ever have been charged with ethical compliance issues.
6. If the proposed school is a solely owned subsidiary or is otherwise affiliated with another corporation(s), attach the documents identified above in item # 2 for the affiliated corporation.
7. If the proposed school will not be incorporated, identify who will own and control the school: _____
8. If the school will be established as a solely owned subsidiary or will otherwise be affiliated with another corporation, attach a description of the structure and mission of the other corporation(s). Include an organization chart and a brief narrative that clearly define the relationship between the proposed school and the affiliated corporation(s).
9. Provide documentation that the proposed school trade name and/or business entity has been filed with the Maryland Department of Assessments and Taxation.

PART I, TAB C Cover Page

(All required materials for Section C should be included, in order, after this page.)

C. FINANCIAL INFORMATION

All financial information that is provided to the Maryland Higher Education Commission will be treated as confidential in keeping with the applicable Federal and State laws.

AN APPLICANT must elect to submit from the appropriate options as listed below:

A new school may choose to provide from either of the following two options if the school collects tuition in multiple installments from or on behalf of students:

- Financial guarantee in the form of an irrevocable letter of credit or a renewable performance bond that is sufficient to cover **100%** of the tuition liability of the proposed school

OR

- A financial statement that is reviewed or audited by an independent certified public accountant, and
- A financial guarantee in the form of an irrevocable letter of credit or a renewable performance bond that is sufficient to cover **50 %** of the tuition liability of the proposed school unless the Secretary determines that financial conditions warrant a greater amount.

A new school that does not collect tuition in multiple installments from or on behalf of its students **must** provide:

- A financial guarantee in the form of an irrevocable letter of credit or a renewable performance bond that is sufficient to cover **100%** of the tuition liability of the proposed school.

Any school that offers a program in part or whole by distance education:

- A financial guarantee in the form of an irrevocable letter of credit or a renewable performance bond that is sufficient to cover **100%** of the tuition liability of the distance learning program.

A new school may choose to submit either of the following two options (Item 1 or Items 2-5) if the school collects tuition in multiple installments from or on behalf of students. A new school that does not collect tuition in multiple installments from or on the behalf of its students MUST choose Item #1. Any school that offers a program in part or whole by distance education must also choose Item #1 for the distance learning portion of the program.

- 1. Item 1:** Submit a financial guarantee in the form of an irrevocable letter of credit or renewable performance bond using the enclosed forms (**Appendix 1 and Appendix 2**). The letter of credit or renewable performance bond must be in an amount sufficient to cover 100% of the tuition liability of the proposed school and under the terms and conditions determined by the Secretary. The guarantee must be made payable to the Secretary of Higher Education.¹

¹ The requirement of a financial guarantee for new schools is summarized below and detailed in the *Policies and Procedures Manual for Private Career Schools*. All applicants seeking initial approval to operate a private career school must submit a financial guarantee sufficient to protect the tuition of students to be enrolled at the school. The projected tuition liability and, therefore, the amount of the required financial guarantee is determined by the following two-step calculation:

Step one: Multiply the cost of tuition by the maximum approved student enrollment. This maximum enrollment will be based upon the applicant's projected enrollment for the school, not to exceed the capacity of the school's facilities, equipment and staffing, and upon the school's bonding capability.

Step two: Multiply the total tuition derived from step one by 100%. This figure represents the projected tuition liability.

Items 2-5:

2. Submit a current financial statement prepared in accordance with generally accepted accounting principles (GAAP) that demonstrates that the applicant has adequate financial resources to operate the school. The financial statement must be reviewed or audited by an independent certified public accountant and contain, at a minimum, the following:
 - a. A balance sheet;
 - b. An income and expense statement;
 - c. Statement of cash flow; and
 - d. All accounting notes.
3. If the school is part of a larger organization or is a wholly-owned subsidiary, submit a financial statement as described above for the parent corporation and a statement for the school.
4. Submit financial statements as described above for the previous three fiscal years, if the applicant was operational during this period and the financial statements are available.
5. Submit a financial guarantee in the form of an irrevocable letter of credit or renewable performance bond using the enclosed forms (**Appendix 1 and Appendix 2**). The letter of credit or renewable performance bond must be in an amount sufficient to cover 50% of the tuition liability of the proposed school and under terms and conditions determined by the Secretary. The guarantee is to be made payable to the Secretary of Higher Education.¹

Example: The projected tuition liability for a new school with a maximum student enrollment of 50 and tuition of \$2,000 will be calculated as follows:

$$(50 \text{ students} \times \$2,000 \text{ tuition}) \times 100\% = \$100,000$$

¹ The requirement of a financial guarantee for new schools is summarized below and detailed in the enclosed *Policies and Procedures Manual for Private Career Schools*. All applicants seeking initial approval to operate a private career school must submit a financial guarantee sufficient to protect the tuition of students to be enrolled at the school. The projected tuition liability and, therefore, the amount of the required financial guarantee is determined by the following two-step calculation:

Step one: Multiply the cost of tuition by the maximum approved student enrollment. This maximum enrollment will be based upon the applicant's projected enrollment for the school, not to exceed the capacity of the school's facilities, equipment and staffing, and upon the school's bonding capability.

Step two: Multiply the total tuition derived from step one by 50% in order to factor out tuition lost to a school due to normal student attrition and delinquent student accounts. This figure represents the projected tuition liability.

Example: The projected tuition liability for a new school with a maximum student enrollment of 50 and tuition of \$2,000 will be calculated as follows:

$$(50 \text{ students} \times \$2,000 \text{ tuition}) \times 50\% = \$50,000$$

PART I, TAB D Cover Page

(All required materials for Section D should be included, in order, after this page.)

D. PROGRAM OFFERINGS

1. Complete and submit the enclosed form, “*Proposed Programs*” (**Appendix 3**).
2. Complete and submit the enclosed form, “*Schedule Options Available for Each Proposed Programs*” (**Appendix 4**).

PART I, TAB E Cover Page

(All required materials for Section E should be included, in order, after this page.)

E. MARKET DEMAND

1. Provide evidence of Maryland occupational demand for graduates of each proposed program. Address how local training competition impacts the occupational demand for each program's geographic employment area.
 - a. Possible sources for occupational demand information include:
 - i. the Maryland Department of Labor, Licensing and Regulation (DLLR). The website is <http://www.dllr.state.md.us>; click on "Labor Statistics";
 - ii. the US Census Bureau's local employment dynamics at <http://lehd.did.census.gov/led/datatools/qwiapp.html>;
 - iii. State job market information which can be found on the One-Stop Job Market web site, <http://www.onestopjobmarket.org/SiteMap.aspx>.
 - iv. other sources as relevant.
 - b. One source for publicly offered training competition can be found on the MHEC's website, www.mhec.state.md.us. To find programs similar to what you are proposing, search under Career and Workforce Education (Private Career Schools and Workforce Investment Act) and Colleges/Universities.
2. Distribute employer surveys (**Appendix 5**) for each proposed program. The number of surveys you distribute should reflect each program's projected number of graduates. Include the tabulated results of the employer survey as well as copies of the completed survey forms. The employer survey must provide quantifiable and reliable data from prospective employers as to:
 - a. sufficiency of the program curriculum to meet industry entry-level training needs;
 - b. whether the proposed program being delivered in part or whole via distance education will satisfy the employers' training prerequisites;
 - c. whether graduates of the proposed program would be eligible for entry-level employment consideration in the industry *and* by the specific employer; and
 - d. the employer's projected number of annual job openings for each identified occupation for which program graduates would qualify.

PART I, TAB F Cover Page

(All required materials for Section F should be included, in order, after this page.)

F. CURRICULUM

Note: For each proposed program, submit the information identified below.

1. Provide ***as an attachment*** the following information for each course or subject area within each proposed program:
 - a. A *detailed* program and course-by-course outline and *detailed* daily lesson plans that will be provided by the school to the instructor(s).
 - i. For programs offered in part or whole by distance education, the following additional information is required:
 - a. Each lesson should include an itemization of the time spent on each topic and whether it will be delivered through distance learning or resident training.
 - b. Identify the method(s) of instruction to be used to teach each course in the program (i.e. self-guided distance learning, chat room distance learning, interactive electronic communication, instructor lecture, class discussion, student demonstration, etc.).
 - c. Attach a description of the process by which all aspects of the proposed program were developed. Include the qualifications of all faculty, administrators and technologists who participated in developing the program.
 - d. Attach a description of the information and training the school will provide students so that students may function in a distance education environment. Include outside resources, electronic databases and other library access features that will be available to students, and how the school will actively encourage students to use these resources to acquire knowledge and skills.
 - e. Attach a description of the real-time or delayed distance learning interaction between faculty and students.
 - b. A list of the textbook(s) to be used in the course, including the titles, editions, publishers and dates of publication.
 - c. The sequence in which the courses will be taught. If more than one sequence of courses is to be scheduled, describe all proposed combinations.
 - d. Identification of any course or training component in which a single instructor teaches a combined class of students who are at different levels of the program. Identify the maximum number of training levels that are combined. Describe how the single instructor jointly instructs students who are at different levels of training.
 - e. A description of when students' knowledge and practical skills are assessed and how they are measured. (e.g., written theory exams weekly and practical skills evaluations every two weeks).

- i. Programs offered in part or whole by distance education:
Distinguish between distance learning and resident training.
 - f. Copies of quizzes, tests and evaluations to be used to assess students' performance.
- 2. Provide 1 copy of each textbook and accompanying teacher's manual(s) to be used for each proposed program.
 - a. Programs offered in part or whole by distance education: Provide one copy of the printouts of all materials and the software to be used.
- 3. Will the program include an externship or internship?
☐ Yes ☐ No
- 4. If the program will include an externship/internship, please provide as an attachment the information requested below:
 - a. Describe the content and length of the externship/internship. Include with this description the relationship between the classroom experience and the externship/internship. For example, how the externship/internship provides reinforcement of the classroom instruction.
 - b. Describe the process to be used to evaluate a student's performance during the externship/internship and provide copies of the evaluation instrument.
 - c. Describe how students will be supervised during the externship/internship.
 - d. Indicate the minimum and maximum number of students who will be at each site at any one time.
 - e. Identify whose responsibility the issue of liability insurance is during the externship and provide copies of appropriate insurance binders.
 - f. Identify whether students will be paid during the externship and, if so, the minimum salary to be paid.
 - g. A copy of the agreement between the school and each externship/internship site to be utilized.
 - h. A list of all externship/internship sites that identifies for each site, the maximum and minimum number of students to be assigned at any one time.

PART I, TAB G Cover Page

(All required materials for Section G should be included, in order, after this page.)

G. SCHOOL CATALOG

1. Attach 2 draft copies of the school's catalog.
2. Complete and submit the enclosed "*Catalog Checklist for a Private Career School*" (**Appendix 6**) to ensure that all required items are included in the catalog.

Note: The catalog must comprehensively describe the school's programs, policies, and other information prescribed by the Secretary and identified on the *Catalog Checklist for a Private Career School*. The following sections may require the applicant to reference the sections of the school catalog where the requested information is found.

PART I, TAB H Cover Page

(All required materials for Section H should be included, in order, after this page.)

H. OVERVIEW OF EACH PROPOSED PROGRAM

1. Vocational objectives of each proposed program: *(Identify the page(s) in the school catalog where the information identified below is provided.)*
 - a. the program objective(s) to be achieved. *(Catalog pages _____.)*
 - b. the specific skills to be acquired by program graduates.
(Catalog pages _____.)
 - c. the specific occupations for which program graduates will qualify.
(Catalog pages _____.)
 - d. please use the **Dictionary of Occupational Titles** to identify the occupations that a graduate who completes the proposed program may qualify if the graduate has no other postsecondary education or previous work experience. *(Catalog pages _____.)*
2. Program content of each program. *(Identify the page(s) in the school catalog where the information identified below is provided.)*
 - a. Program outline identifying each course or subject and the following:
 - i) total hours of instruction for each course or subject.
(Catalog pages _____.)
 - (1) If the program involves distance education, identify the total hours of instruction taught by distance learning and the total hours of instruction taught by resident training.
 - ii) total hours for each course or subject designated for lecture/theory.
(Catalog pages _____.)
 - (1) If the program involves distance education, identify the total hours for each course or subject designated for lecture/theory taught by distance learning and the total hours for each course or subject designated for lecture/theory taught by resident training.
 - iii) total hours for each course or subject designated for lab/practice.
(Catalog pages _____.)
 - (1) If the program involves distance education, identify the total hours for each course or subject designated for lab/practice taught by distance learning and the total hours for each course or subject designated for lab/practice taught by resident training.
 - b. Description of each course or subject.

- i) If the program involves distance education, includes how distance learning and resident training will be particularly utilized to achieve the given objectives.
- 3. Program schedule(s): *(Identify the page(s) in the school catalog where the information identified below is provided.)*
 - a. a school calendar which identifies:
 - i) program start dates. *(Catalog pages _____.)*
 - ii) beginning and ending dates of each term, phase, module, distance learning or resident training. *(Catalog pages _____.)*
 - b. for each program schedule option (day schedule, evening schedule, distance education schedule, etc.), identify the following. If the program involves distance education, break down each schedule between distance learning and resident training:
 - i) number of hours and time(s) of instruction per day. *(Catalog pages _____.)*
 - ii) days required each week. *(Catalog pages _____.)*
 - iii) hours required each week. *(Catalog pages _____.)*
 - iv) weeks required to complete the program. *(Catalog pages _____.)*
- 4. Proposed maximum student:instructor ratio for each proposed program. *(Identify below and in the school catalog the maximum student:instructor ratio for the following methods of instruction for each program.)*
 - a. Lecture: _____
 - b. lab/practical instruction: _____
 - c. clinic, if applicable: _____
 - d. externship, if applicable: _____

PART I, TAB I Cover Page

(All required materials for Section I should be included, in order, after this page.)

I. ENTRANCE AND GRADUATION REQUIREMENTS

1. Entrance requirements for each program: *(Identify the page(s) in the school catalog where this information is provided.) (Catalog pages _____.)*
 - a. If the program involves distance education, address how the school will assess whether students have adequate prerequisite skills and computer equipment to succeed in a distance learning environment. (**NOTE**: the school must document compliance with all entrance requirements prior to the student beginning classes.)
2. If an entrance test will be used for the proposed program(s), please attach the following information:
 - a. A copy of the test and answer sheet.
 - b. A copy of the publisher's test instruction booklet which includes normative data relative to the test.
 - c. Identification of the minimum score required for admission into each proposed program. Please explain how this minimum cut-off score was determined.
 - d. A complete description of how and by whom the test will be administered and scored. Identify whether all applicants will be tested. Also identify the school's policy regarding the retesting of applicants who initially fail the test.
 - e. If the program involves distance education, attach a complete description of how the test will be administered online in a manner that verifies the student's identity as well as maintains the test's validity.
3. Graduation requirements for each program: *(Identify the page(s) in the school catalog where this information is provided.) (Catalog pages _____.)*
4. Attach a description of how the school will track job placement of its graduates.
 - a. Even though schools are not required to *offer* placement assistance, schools *are* required on each Annual Report to track initial employment of graduates. The only exception is when a school has received *prior* MHEC approval to report licensure pass rates on its Annual Report.
 - b. All programs, including those offered in whole or part as distance learning, must meet minimum State performance standards, calculated from the school's annual report. For programs less than 600 clock hours, the minimum program performance standard for completion is 50% and the minimum standard for placement (or licensure) is 33%. For programs more than 600 clock hours, the minimum program performance standard for completion is 33% and the minimum standard for placement (or licensure) is 33%.

PART I, TAB J Cover Page

(All required materials for Section J should be included, in order, after this page.)

J. ATTENDANCE AND ACADEMIC POLICIES

1. Attendance policy for students enrolled in the proposed program(s). *(Identify the page(s) in the school catalog where this information is provided. Note that the attendance policy must address all items listed in #12i, #15 and #16 of the “Catalog Checklist for a Private Career School.”) (Catalog pages _____.)*
 - a. If the program involves distance education, address how attendance will be monitored, verified and documented for distance learning students.
2. Academic policy for students enrolled in the program. *(Identify the page in the catalog where this information is provided. Note that the policy must address all items listed in #12i, #16 and #17 of the Catalog Checklist.) (Catalog pages _____.)*
 - a. If the program involves distance education, address how satisfactory progress will be monitored, verified and documented for distance learning students.

PART I, TAB K Cover Page

(All required materials for Section K should be included, in order, after this page.)

K. STAFFING

1. Provide a list of all the school faculty and staff including administrators, admissions representatives, and instructors. For instructors, please indicate which courses in the proposed program they will be teaching and their scheduled hours of instruction. If the instructors for the proposed program will teach other approved programs, please also identify these additional courses and hours of instruction.
2. Attach a description of the minimum qualifications required of instructors for each program.
 - a. If the program involves distance education, list instructor qualifications separately for distance learning instructors and resident training instructors.

PART I, TAB L Cover Page

(All required materials for Section L should be included, in order, after this page.)

L. EQUIPMENT AND OTHER EDUCATIONAL RESOURCES

1. Attach an equipment list that identifies the equipment that will be obtained to support each program. Indicate the ***quantity, make, model and age*** of each item on the list.
2. If the program involves distance education, identify equipment to be utilized for distance learning and a description of how faculty will be trained on the technology. Please include all faculty support services available to distance learning instructors.
3. If any of the equipment listed will be utilized for multiple programs, attach a description of how this equipment will be shared.
4. If the program involves distance education, attach a complete description of the extent to which distance learning students will have access to the school's student services (e.g., academic counseling, financial advising, placement assistance, etc.) and technical support.
5. If the program involves distance education, attach a complete description of the school's online policies to provide adequate reliability, privacy, safety and security of student information and student financial transactions. This should also include how the school plans to maintain the security and integrity of online student testing and evaluation.
6. Attach a description of any other educational resources that will support each proposed program (e.g. library resources, etc.).

PART I, TAB M Cover Page

(All required materials for Section M should be included, in order, after this page.)

M. FACILITY

Attach a description of the facility that will be utilized by the school. *(By regulation, space in square footage per student must conform to standards of sound educational practice and State/local laws, ordinances and requirements. The minimum square footage per student may vary depending upon the type of training being conducted and other factors, but may not be less than 18 square feet per student.)*

PART I, TAB N Cover Page

(All required materials for Section N should be included, in order, after this page.)

N. DOCUMENTS

1. Attach a copy of the enrollment contract to be used by the school to enroll students. The enrollment agreement must include all items identified on the enclosed “*Enrollment Agreement Checklist*” (**Appendix 7**). In addition, please ensure that any school policy that is stated in both the enrollment contract and the catalog is worded exactly the same in both documents (e.g. refund policy and completion requirements).
2. Attach a sample of the student’s permanent record card (transcript) that will be used for each proposed program. The transcript must include all items identified on the enclosed “*Student Permanent Transcript Checklist*” (**Appendix 8**).
3. Attach a copy of the student’s permanent account card. The account card must include all items identified on the enclosed “*Student Permanent Account Card Checklist*” (**Appendix 9**).
4. Attach a sample certificate of completion that identifies: the school, program name and length, student’s name, and graduation date.
5. Attach copies of all advertising materials that will be used to promote the proposed program(s). This includes any brochures, fliers, direct mail pieces, TV/radio copy, newspaper ads, websites etc. In addition, provide a description of how the school will recruit students and promote the school.
6. Attach a plan for maintaining the proposed school as a workplace and educational institution free of drug and alcohol abuse.

PART I, TAB O Cover Page

(All required materials for Section O should be included, in order, after this page.)

O. APPLICATION FEE

1. Enclose a non-refundable fee of \$300.00 for an application with 1-3 programs. For an application with more than 3 programs, enclose a fee that totals \$300 plus \$100 for each program over 3. Make the check or money order payable to the Maryland Higher Education Commission.
2. Complete and submit the enclosed “*Payment Form for the Application Fee*” (**Appendix 10**).

PART I, TAB P Cover Page

P. AFFIDAVIT

I do solemnly declare and affirm under the penalty of perjury that the contents of the foregoing document are true and correct.

_____ <i>Name (please type or print)</i>	_____ <i>Signature</i>
_____ <i>Title</i>	_____ <i>Date</i>

revised 7/11

Name of Bank
Address of Bank

**INSTITUTION OF POSTSECONDARY EDUCATION
IRREVOCABLE LETTER OF CREDIT NO. _____**

Date

U.S. Dollars Amount: _____

Maryland Higher Education Commission
6 N. Liberty Street, 10th Floor
Baltimore, Maryland 21201

To Whom It May Concern:

We hereby authorize you to draw on us for the account of Name and Complete Address of School up to the aggregate amount of _____ available by your draft(s) at sight, subject to the following conditions:

1. Each draft must be drawn and presented for negotiation at our counter on or before Date (year from the date of this letter or any automatic extension in accordance with Section 5 below);
2. Each draft must contain a statement that it is "Drawn Under Letter of Credit" No. _____ Name of Bank and Address;
3. Each draft must be accompanied by a statement on your letterhead signed by an authorized signatory stating that Name of School has failed to: (a) perform faithfully all agreements or contracts it has made with its students and/or (b) failed to comply with Title 11, Education Article of the Annotated Code of Maryland and regulations promulgated thereunder in the operation of an institution of postsecondary education.
4. We must endorse the amount of each draft on this Letter of Credit. The Maryland Higher Education Commission will determine claims against Name of School resulting in draft(s) against this Letter of Credit.
5. This Letter of Credit shall be deemed automatically extended without written amendment for one year from the original or any automatically extended expiration date unless at least sixty (60) days before such expiration date, we send you written notice that we elect not to extend this Letter of Credit beyond the original or any automatically extended expiration date.

We hereby agree with the drawer, endorsers, and bona fide holders of all drafts drawn under and in compliance with this Letter of Credit that such drafts will be duly honored on presentation.

Complimentary Close

(Signature of Bank Official)

(Title of Bank Official)

(Rev. 7/11)

MARYLAND HIGHER EDUCATION COMMISSION
Division of Planning and Academic Affairs
6 N. Liberty Street, 10th Floor
Baltimore, Maryland 21201

PRIVATE CAREER SCHOOL BOND

KNOW ALL PERSONS BY THESE PRESENT:

That we, _____, located at _____, as principal (“Principal”), and _____, of _____ as surety (“Surety”), are held and firmly bound unto the Maryland State Secretary of Higher Education in the penal sum of _____ (\$ _____) in lawful money of the United States for the payment of which said Principal and Surety bind themselves, their heirs, administrators, executors, successors, and, assigns, jointly and severally, firmly by these presents.

WHEREAS, said Principal has applied for approval to operate an institution of postsecondary education under the provision of Title 11, Subtitle 2, of the Education Article of the Annotated Code of Maryland, which requires such schools to furnish a bond at the discretion of the Maryland Higher Education Commission.

NOW THEREFORE, the condition of this obligation is such that if the Maryland Secretary of Higher Education shall issue to the Principal such approval as may be required, and if said Principal shall at all times faithfully perform all agreements and contracts with students and comply with provisions of Title 11, Education Article, Annotated Code of Maryland and Regulations issued thereunder, then this obligation shall be void.

PROVIDED HOWEVER, that the liability of the Surety under this bond shall not in the aggregate exceed the penal sum thereof for all breaches of conditions of such bond; and, in addition, this bond may be canceled by the Surety by the filing with the Maryland Secretary of Higher Education within sixty (60) days written notice of such cancellation, but the Surety by filing such notice shall not be discharged from any liability already accrued under this bond or which shall accrue hereunder before the expiration of such sixty (60) day period.

IN WITNESS WHEREOF, we have duly executed the foregoing obligation this Date day of Month, Year.

(Principal – Signature) (SEAL)

(Surety Name)

(Attorney-in Fact – Signature) (SEAL)

SCHOOL NAME: _____

PROPOSED PROGRAMS

PROGRAM TITLES	PROGRAM LENGTH MEASURED IN:					PROGRAM COSTS			
	CLOCK HOURS				CREDIT HOURS ¹				
	Lecture	Lab	Externship	Total	Total	Tuition	Registration Fee	Other Charges	Total
						\$	\$	\$	\$
						\$	\$	\$	\$
						\$	\$	\$	\$
						\$	\$	\$	\$
						\$	\$	\$	\$
						\$	\$	\$	\$
						\$	\$	\$	\$
						\$	\$	\$	\$
						\$	\$	\$	\$

\FORMS\FORM2 (Rev. 10/99)

¹ Optional to Report - Should you choose to report the program(s) in credit hours, utilize the formula contained within Section 13B.01.01.09A of the Code of Maryland Regulations.

SCHOOL NAME: _____

SCHEDULE OPTIONS AVAILABLE FOR EACH PROPOSED PROGRAM

List separately each schedule option available for each program (e.g. morning, afternoon and evening schedule)

PROGRAM/ SCHEDULE OPTION	TOTAL HOURS	HOURS PER WEEK	TOTAL WEEKS	DAILY SCHEDULE (class time per day)
e.g. MCSE Program/ Evening Schedule	192	12	16	6:00 - 9:00 p.m. (Mon. - Thurs.)
e.g. MCSE Program/ Day Schedule	192	20	9.6	9:00 a.m. – 2:30 p.m. (Mon. - Thurs.) with ½ hour lunch

\\FORMS\\FORM3 (Rev. 10/99)

Market Survey Form

Appendix 5.

School Name, proposed to be located at Proposed Address of School, is performing a market and employment viability survey. Please take a few moments to answer the following questions so that we might better understand your needs, desires and requirements as potential employers of our anticipated graduates. This survey should not take more than 10 minutes of your time, and a self-addressed stamped envelope is enclosed for the survey's return. Thank you in advance for your participation!

SECTION 1: Program Description *(to be completed by the proposed school)*

A. Training Program & clock hours:	
<i>Program Name</i>	<i>Total Clock Hours</i>

B. Program Overview:

C. Program Course (Topic/Subject) Breakdown:	Clock Hours
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D. This training prepares students for these entry-level positions:

E. Name and address of company completing this survey:
Company/Business Name, Address, City, State Zip Code

F. Name, title and phone/fax and/or email of person completing this survey:
Name, Title, Phone, Fax and/or Email

SECTION 2: Employer Feedback

Question #1

How many people did your company hire for the position(s) listed under Section 1D

- a. two years ago? _____
- b. one year ago? _____
- c. this year? _____

Question #2

How many people do you anticipate hiring in the upcoming year for the listed position(s)? _____

Question #3

On a scale of 0-to-5 with 5 *being the most desirable*, please rate the above training program as it would meet the employment needs of your company.

☐ 0 ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

Question #4

Does your company see a current *local* need for this training? ☐ Yes ☐ No

Does your company see a current *statewide* need for this training? ☐ Yes ☐ No

Question #5

How does your company view the *industry's* future local and statewide employment markets for these jobs?

☐ Increasing ☐ Decreasing ☐ Remaining the same

Comments:

Question #6

Taking into account the training described in Sections 1B and 1C, what additional training or skills, if any, would your company like to see in an applicant? (Possible examples: more “soft” skills, more hands-on training, more emphasis on writing, etc.)

Comments:

Question #7

Based on the above training description, would your company consider employing graduates of this program?

☐ Yes ☐ No

Comments:

Question #8

Do you have anything to add that would be helpful to us as we develop this program?

Comments:

Market Demand Information Pointers

New school and new program applications require both (1) evidence of occupational demand with an analysis of local training competition, and (2) employer surveys with tabulated results. Both items are required as they serve distinct purposes.

(1) Evidence of occupational demand with an analysis of how local training competition impacts the occupational demand for a program's geographic employment area

The purpose of item 1 is to establish the level of supply (graduates from training competitors) and demand (job openings) for the targeted vocation. The school must show that there is an unmet demand (that is, there are enough job openings/projected job openings such that graduates can reasonably expect to find training-related employment, even with graduates from other similar local programs).

The school should research labor market projections (when available) for the school's geographic area. Additionally, the school should research how many graduates are produced by local competitor programs. If the number of graduates from competitor programs outweighs the number of job openings/projected job openings, there is likely no unmet need for this type of training. A school may provide supplemental or clarifying information about a local labor market need; any supplemental information should be provided in the form of a school's own analysis with accompanying data or information. Job market information should be relevant to the geographic employment area of the school.

(2) Employer Surveys

The primary purpose of employer surveys is to identify whether a proposed program would *qualify* graduates for entry-level employment and employment with the *specific* employers completing the survey. Employer surveys are used to evaluate:

1. sufficiency of the program curriculum to meet industry entry-level training needs;
2. whether graduates of the proposed program would be eligible for entry-level employment consideration in the industry *and* by the specific employer; and
3. the employer's projected number of annual job openings for each identified occupation for which program graduates would qualify.

Employer surveys may supplement labor market information, but they are not a substitute for labor market data or an analysis of local training competition. An analysis of local training competition (within item 1 of the Market Demand section of an application) is key as employer surveys do not speak to the existing *supply* of graduates. Employer surveys also show that employers have reviewed the program outline content to make a determination that the training would meet their employment needs.

When preparing Market Demand materials:

- Provide an *analysis* (not just printouts of websites or information, but a written analysis of what the information means about the local labor market and why it supports that there is an unmet training need).
- Emphasis should be on local job openings.
- Review and *analyze* the information prior to submitting.

SCHOOL NAME: _____

CATALOG CHECKLIST FOR A PRIVATE CAREER SCHOOL

The Code of Maryland Regulations, Section 13B.01.01.15 A. states:

Each school shall have a catalog that shall be given to all students at the time of enrollment. The catalog shall describe comprehensively the school's facilities, educational offerings, activities, policies, and other information prescribed by the Secretary, and shall state the estimated length of each of the school's programs and courses in clock hours, weeks, and months.

The following is the catalog checklist as prescribed by the Secretary. To meet minimum standards, all the items listed below must be included in the catalog.

Please complete this checklist by listing next to each item the page or pages in the school catalog that contain this information. Put "N/A" if the item is not applicable to the school.

In order to provide current information to the student, updated information such as faculty lists or program costs may be printed on a catalog insert or addendum. A recently approved program modification or new program may also be described with a catalog insert until the new printing of the catalog occurs.

Please note: *Catalog pages must be numbered.*

Date of Catalog (Month and Year Published): _____

Page #

- | | | | | | | | |
|-------|---|-------|-------------------------|-------|------------------------------|-------|---|
| _____ | 1. Name, complete street address, and telephone number of the school. May include facsimile number, school email contact, and website identification. | | | | | | |
| _____ | 2. Date of publication of the catalog (month and year published). | | | | | | |
| _____ | 3. A full description of the ownership and control of the school, including names of any corporate officers, general partners, managing members, stockholders, partners or members who make decisions concerning the operation of the school or directly or indirectly have a controlling ownership interest. | | | | | | |
| _____ | 4. Names and titles of: <table border="0" style="margin-left: 20px;"> <tr> <td style="width: 5%;">_____</td> <td>a. The school director;</td> </tr> <tr> <td>_____</td> <td>b. Administrative staff; and</td> </tr> <tr> <td>_____</td> <td>c. Instructional staff (include subject matter each teaches).</td> </tr> </table> | _____ | a. The school director; | _____ | b. Administrative staff; and | _____ | c. Instructional staff (include subject matter each teaches). |
| _____ | a. The school director; | | | | | | |
| _____ | b. Administrative staff; and | | | | | | |
| _____ | c. Instructional staff (include subject matter each teaches). | | | | | | |
| _____ | 5. Description of the school's facility, which includes at a minimum a description of instructional and student service space such as classrooms, lab rooms, administrative areas, restrooms, and break rooms. Classrooms and lab room should include square footage | | | | | | |

- _____ 6. General description of the school's instructional equipment (for instance, white boards, student computers, audio-visuals, etc.). If any portion of a program is delivered via distance education, student home equipment minimum requirements must be disclosed.
- _____ 7. Statement of the mission of the school.
- _____ 8. A calendar, which identifies
- _____ a. The academic calendar:
 - _____ 1) Program start and end dates.
 - _____ 2) Beginning and end dates of each term or module.
 - _____ b. The school calendar:
 - _____ 1) All holidays observed.
 - _____ 2) Any vacation time or known periods of school closure.
 - _____ 3) Closing policy due to inclement weather to include how students and staff are notified and how missed classes are made up.
- _____ 9. Description of the school's policy for granting credit for previous training or experience to include information collection, evaluation, and notification process. Should a school not grant credit for previous training or experience, there must be a statement to that effect.
- _____ 10. For each program dually approved in credit hours, the definition of a credit hour and the formula used to convert clock hours to credit hours. Identify the institutions accepting these transfer of credit hours as well as a breakdown of the specific transferrable credit hours. Include the statement: *"Credits earned are for determining progress toward program completion only, and the credits are not necessarily transferable to another private career school or to a collegiate institution."*
- _____ 11. Overview of each program, which includes:
- _____ a. Title and total program length in clock hours (and credit hours, if applicable).
 - _____ b. Vocational objectives of the program which include identifying the specific occupations for which graduates will qualify, along with occupational descriptions in accordance with the Bureau of Labor Statistics Occupational Outlook Handbook (<http://www.bls.gov/ooh/>).
 - _____ c. Description of process relative to how and when a student may enroll to include location, days of the week, times available and whether by appointment or walk-in.
 - _____ 1) Entrance requirements (for instance, minimum age requirement, educational level, health tests or reports, etc.)
 - _____ 2) Training programs for occupations requiring state licensing, certification or registration must contain the following disclosure statement: *Criminal convictions*

may affect a student's ability to be licensed, certified or registered.

- _____ d. Program outline identifying each course or subject and the following:
 - _____ 1) Total hours of instruction for each course or subject.
 - _____ 2) Total hours for each course designated for lecture/theory.
 - _____ 3) Total hours for each course designated for lab/practical.
 - _____ 4) Total hours for each course designated for clinic/externship.
 - _____ 5) If any portion of the program is delivered via distance education, 1-4 must be individually broken out by instructional delivery method: residential or distance education.
 - _____ e. Brief summary description of each topic area or course within the program.
 - _____ f. Brief summary description of clinic/externship to include identifying the sites and locations, capacities, and distances from the school.
 - _____ g. Schedule options. Identification of the following for each schedule; i.e., full-time day schedule, part-time evening schedule, etc.
 - _____ 1) Specific times and number of hours of instruction per day,
 - _____ 2) Specific days required each week,
 - _____ 3) Number of hours required each week, and
 - _____ 4) Number of weeks required to complete the program.
 - _____ 5) If any portion of the program is delivered via distance education, 1-4 must be individually broken out by instructional delivery method: residential or distance education.
 - _____ h. Maximum student-to-instructor ratio(s) for lecture, lab/practical, clinic/externship.
 - _____ i. Graduation requirements including all academic, attendance, and financial requirements.
- _____ 12. Program performance. A statement which clearly discloses that students and prospective students may obtain from the Maryland Higher Education Commission information regarding the performance of each approved program. This includes but is not limited to information regarding each program's enrollment, completion rate, placement rate, and (if applicable) pass rate of graduates on any licensure examination. The web site address of the Maryland Higher Education Commission must be provided in this statement (www.mhec.state.md.us).
- _____ 13. Cost for the programs to include the amount of the:
 - _____ a. Application fee;
 - _____ b. Registration or enrollment fee (cannot exceed 10% of the tuition or \$150, whichever is less);
 - _____ c. Books and supplies;
 - _____ d. Tuition;

- _____ e. Other costs, specifically identified and described, to include to whom payable, when, and for what purpose. (Please note: COMAR Section 13B.01.01.12G: “*Other mandatory fees charged shall be requested by a school and approved by the Secretary prior to implementation....*”)
 - _____ f. Total cost for the program as payable to the school.
 - _____ g. For a combined resident-distance education program, the tuition price for the distance education portion and the tuition price for the resident portion shall be separately stated on the enrollment agreement. The total of the two shall be the total tuition charge.
 - _____ h. Any direct costs to be assumed by the student (e.g., supplies and materials, uniforms, etc.).
- _____ 14. School’s attendance policy that must address items a through g below (if any portion of the program is delivered via distance education, policies regarding the two delivery methods must be separated out):
- _____ a. Absences, including tardiness and leaving early (reminder: all time missed must be tracked by the school).
 - _____ b. If a program prepares students for a licensed occupation which requires completion of all program hours, a make up policy must describe the number of hours which can be made up while maintaining the required attendance rate and the time frame for such make up, along with the manner in which these hours can be made up.
 - _____ c. Description of early and frequent evaluation points in the program(s) when student attendance will be evaluated and reported in writing to students. Identify the minimum attendance standard that each student must achieve at these evaluation points.
 - _____ d. The number of hours and percentage of hours absent allowed before a student will be placed on probation or terminated.
 - _____ e. Description of the attendance probationary period, if any. If the school does not have an attendance probationary period, then a statement must be included to that effect.
 - _____ f. The action the school will take if a student fails to achieve the minimum attendance standards.
 - _____ g. Attendance requirement to graduate. Note: The Maryland regulations require that a student shall have a minimum attendance rate of 80 percent of the total program, in order to be graduated from a program.
 - _____ h. Identification of whether the school has a Leave of Absence policy, and what that policy is, dependent upon program length and educational soundness. Note that by Maryland regulation, an “official leave of absence” means any leave of absence granted by a school under the terms set out in Section 13B.01.01.09M of the Code of Maryland Regulations.¹ A leave of absence must be

¹ 13B.01.01.09M. Leaves of Absence.
(rev. 12/12)

requested in writing by a student, documented in the student's file, and granted by the school in accordance with sound educational practice for a maximum of 180 cumulative days.

- _____ 15. The school's policy on student conduct and the conditions for dismissal for unsatisfactory conduct. (At a minimum, address inappropriate interactions and behavior, dishonestly, violent acts, and policy violations.)
- _____ 16. The school's policy regarding academic progress must include the following (if any portion of the program is delivered via distance education, policies regarding the two delivery methods must be separated out):
- _____ a. The grading system and the grading scale.
 - _____ b. Minimum grades considered satisfactory.
 - _____ c. The school's policy regarding make up work.
 - _____ d. Description of early and frequent evaluation points in the program(s) when student academic achievement will be evaluated and reported in writing to students. Identify the minimum academic standard that each student must achieve at these evaluation points.
 - _____ e. Description of the academic probationary period, if any. If the school does not have an academic probationary period, then a statement must be included to that effect.
 - _____ f. The action the school will take if a student fails to achieve the minimum academic standards.
 - _____ g. Conditions of re-entrance for students dismissed for unsatisfactory progress, including costs, conditions, and time limits associated with re-enrolling.
 - _____ h. The minimum grades or grade average that must be maintained if the student is to graduate.
 - _____ i. A statement verifying that the school maintains permanent grade records for as long as the school exists.
 - _____ j. A statement verifying that, on a regular basis which is at least every evaluation point, the school will record on an approved individual student permanent record form each student's daily attendance and record of academic achievement.

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- (1) Official leaves of absence may be granted by a school only under a written leave policy that is published in the school's catalog. The policy shall require a student to provide a written, signed, and dated request for a leave of absence. The school shall document the leave of absence in the student's file, report the student's last date of attendance as the start of the leave, record the reason for the leave, and specify, with the consent of the student, an end date for the leave of absence.
- (2) The school shall grant a leave of absence in accordance with sound educational practice. There shall be space and resources available for the student to resume instruction upon conclusion of the leave of absence. There shall also be a reasonable expectation that the student will return to the school and complete the program successfully. If a student does not resume attendance at the school on or before the end of the leave of absence, the school shall treat the student as a withdrawal in accordance with section 13B.01.01.12M(3) of this chapter.
- (3) Additional charges may not be imposed upon the student related to an official leave of absence. Any effects on student loan repayment terms, including possible exhaustion of available grace periods, shall be explained to the student before a leave of absence is granted. Evidence of compliance shall be maintained by the school as part of the student's permanent record.
- (4) In total, a student may not be granted cumulative leave from the school for more than 180 days.
- (rev. 12/12)

_____ 17. The school's refund policy which addresses the items listed below and is **consistent** with the **minimum** requirements in the Code of Maryland Regulations. While it is recommended that it be quoted exactly for the school's policy, the school may certainly exceed these minimum requirements to make the refund policy more beneficial to the student. The refund policy stated within the catalog enrollment must be the same as the language on the enrollment agreement.

- _____ a. If the school closes or discontinues a course¹ or program, the school shall refund to each currently enrolled student monies paid by the student for tuition and fees and monies for which the student is liable for tuition and fees.
- _____ b. All fees paid by a student shall be refunded if the student chooses not to enroll in or to withdraw from a school within 7 calendar days after having signed a contract. [This involves all fees paid to the school by the student or on behalf of the student. Exceptions must be approved by the Secretary and identified according to 17g.]
- _____ c. If the student chooses not to enroll after the 7-day cancellation period but before the first day of instruction, the school may retain the application fee or registration fee, or both.
- _____ d. If, after the 7-day cancellation period expires, a student withdraws after instruction begins, refunds shall be based on the total contract price for the course or program and shall include all fees, except the application, registration or enrollment fee and any charges for materials, supplies, or books which have been purchased by, and are the property of, the student. The minimum refund that a school shall pay a student who withdraws or is terminated after the 7-day cancellation period has expired and after instruction has begun, is as follows:

Proportion of total course or program taught by date of withdrawal	Tuition refund
Less than 10%	90% refund
10% up to but not including 20%	80% refund
20% up to but not including 30%	60% refund
30% up to but not including 40%	40% refund
40% up to 50%	20% refund
More than 50%	No refund

- _____ e. The date of withdrawal or termination is the last date of attendance by the student. A refund due a student shall be based on the date of withdrawal or termination and paid within 60 days from the date of withdrawal or termination.

¹ "Course" means a *portion* of a program of study covering specific subject matter. [COMAR 13B.01.01.02B(10)]

- _____ f. In the case of an official leave of absence, if a student fails to return to training by the end of the leave of absence, a refund due a student shall be based on the date of withdrawal or termination and paid within 60 days of the scheduled last day of the leave of absence.
 - _____ g. Identification of any non-refundable items and applicable criteria [e.g. books must be returned in like-new condition].
 - _____ h. For a program or portion of a program delivered by distance education, the minimum refund that a school shall pay a student who withdraws or is terminated after the 7-day cancellation period has expired and after instruction has begun shall be prorated based on the proportion of the program completed by the student. The proportion of the program completed via distance education shall be the percentage of submitted lesson assignments completed by the student compared to the total number of lesson assignments in the program or portion of the program delivered by distance education.
- _____ 18. Description of student services including the nature and extent of placement assistance available to students and/or graduates.
- _____ 19. Identification of school-specific student rights, privileges and responsibilities (for instance, parking, transcripts and costs (if any), work-station clean-up, dress code, etc.).
- _____ 20. Student grievance procedure, which includes the information that the student has the right to appeal in writing to the Secretary of Higher Education at the Maryland Higher Education Commission concerning school violations of Maryland regulations. Other agencies or boards that must also be identified by name, address, and telephone number in the grievance policy include applicable State licensing boards and commissions, accrediting bodies, and the Maryland Office of the Attorney General, Consumer Affairs, 200 St. Paul Place, Baltimore, MD 21202, 888-743-0023/410-528-8662.
- _____ 21. Pages numbered in the school catalog.

Note: Items 22a. – g. apply only to those schools approved for students to receive veterans education benefits. (After a school has been approved by the Secretary and successfully operated for a two-year period, it may elect to apply for and obtain approval from the Maryland Higher Education Commission State Approving Agency to offer its students veterans education benefits.)

- _____ 24. VA APPROVED SCHOOLS: For schools approved to offer VA education benefits, the catalog must meet the minimum state requirements outlined in items 1 through 21 above, and must also include each item listed below. These may be incorporated into the catalog or published in a catalog addendum.
- _____ a. On or inside the front cover of catalogs submitted to the Commission, the following statement which must be *signed and dated* by the school director or other authorized representative: **“CERTIFIED TRUE AND CORRECT AS TO CONTENT AND POLICY.”**
- _____ b. Statement of approval: “The school is approved by the Maryland State Approving Agency to offer training to veterans and other eligible dependents under the VA educational benefit programs.”
- _____ c. The school’s policy for granting credit for previous training or experience (#10 above) which must indicate that the school will obtain written records on a VA beneficiary’s previous education and experience, complete a documented evaluation, grant credit where appropriate, advise the VA claimant and the Department of Veterans Affairs accordingly, and maintain records
- _____ d. Identification of which programs are approved for VA educational benefits and which are not.
- _____ e. Statement verifying that the school will notify the VA of any change in the enrollment status of students certified to receive veterans education benefits. This would include when the student is placed on attendance and/or academic probation, changes schedules, or terminates training.
- _____ f. Statement verifying that the school maintains permanent grade records and an indication as to when grade reports will be furnished to each student.
- _____ g. Statement that all refunds due to students who receive veterans education benefits will be paid within 40 days of the last date of attendance.
- _____ h. If the school is *not* nationally accredited, a refund policy which must state that the amounts charged a recipient of VA education benefits for tuition, fees, and other charges for a portion of the course will not exceed the approximate prorata portion of the total charges for tuition, fees, and other charges that the length of the completed portion of the program bears to the total length (Code of Federal Regulation 21.4255). The school may retain \$10.00 for administrative costs

SCHOOL NAME: _____

ENROLLMENT AGREEMENT CHECKLIST FOR A PRIVATE CAREER SCHOOL

The following is the enrollment agreement checklist approved by the Secretary. To meet minimum standards, all the items listed below must be included in the enrollment agreement.

- ☐ 1. Name, street address, and telephone number of school.
- ☐ 2. Name, address, telephone number, and social security number of prospective student.
- ☐ 3. Program title.
- ☐ 4. Length of program in clock hours.
- ☐ 5. Program scheduling to include:
 - ☐ a. Date training begins.
 - ☐ b. Date training ends.
 - ☐ c. Hours of instruction per day to include daily time schedule(s) and number of hours per day.
 - ☐ d. Days required each week.
 - ☐ e. Total hours required each week.
 - ☐ f. Number of weeks required to complete the program.
 - ☐ g. If any portion of the program is delivered via distance education, a-through-f must be individually broken out by instructional delivery method: residential or distance education.
- ☐ 6. Indication as to whether upon satisfactory completion of program a diploma/certificate will be awarded.
- ☐ 7. Criteria/obligations the student must meet before receiving:
 - ☐ a. Diploma/certificate.
 - ☐ b. Academic transcript and record of attendance.
- ☐ 8. A statement affirming that the school does not guarantee job placement and salaries.
- ☐ 9. Identification of the specific books, supplies, and equipment required for the program. Statement that student may purchase these items either from the school or on the open market. If any portion of the program is delivered via distance education, then minimum equipment specifications for receipt and performance of distance education must be identified.
- ☐ 10. Costs for program. (Please note: COMAR Section 13B.01.01.12C requires the submission of payment plans for student charges be provided to the Secretary for approval at least 60 days before their use.)
 - ☐ a. Application fee, if applicable.
 - ☐ b. Registration or enrollment fee (cannot exceed 10% of the tuition or \$150, whichever is less).
 - ☐ c. Tuition and when payable.
 - ☐ d. Books and supplies.

- ☐ e. Credit terms and interest rates, if any, and the following required FTC notice if there are credit terms: *“Any holder of this Consumer Credit Contract is subject to all claims and defenses which the debtor could assert against the seller of goods or services obtained pursuant hereto or with the proceeds hereof. Recovery hereunder by the debtor shall not exceed amounts paid by the debtor hereunder.”*
- ☐ f. Other fees, if any, and when payable. (Please note: COMAR Section 13B.01.01.12G: *“Other mandatory fees charged shall be requested by a school and approved by the Secretary prior to implementation....”*)
- ☐ g. Total cost to the student as payable to the school.
- ☐ h. For a combined resident-distance education program, the tuition price for the distance education portion and the tuition price for the resident portion shall be separately stated on the enrollment agreement. The total of the two shall be the total tuition charge.
- ☐ i. Any direct costs to be assumed by the student (e.g., supplies and materials, uniforms, etc.).
- ☐ 11. Student refunds. The refund policy must be **consistent** with the **minimum** requirements of the Code of Maryland Regulations, which are quoted below. While it is recommended that it be quoted exactly for the school’s policy, the school may certainly exceed these minimum requirements to make the refund policy more beneficial to the student. The refund policy stated on the enrollment agreement must be the same as the language utilized in the catalog.
- ☐ a. If the school closes or discontinues a course¹ or program, the school shall refund to each currently enrolled student monies paid by the student for tuition and fees and monies for which the student is liable for tuition and fees.
- ☐ b. All fees paid by a student shall be refunded if the student chooses not to enroll in or to withdraw from a school within 7 calendar days after having signed a contract. [This involves all fees paid to the school by the student or on behalf of the student. Exceptions must be approved by the Secretary and identified according to 11g.]
- ☐ c. If the student chooses not to enroll after the 7-day cancellation period but before the first day of instruction, the school may retain the application fee or registration fee, or both.
- ☐ d. If, after the 7-day cancellation period expires, a student withdraws after instruction begins, refunds shall be based on the total contract price for the course or program and shall include all fees, except the application, registration or enrollment fee and any charges for materials, supplies, or books which have been purchased by, and are the property of, the student. The minimum refund that a school shall pay a student who withdraws or is terminated after the 7-day cancellation period has expired and after instruction has begun, is as follows:

Proportion of total course or program taught by date of withdrawal	Tuition refund
Less than 10%	90% refund
10% up to but not including 20%	80% refund
20% up to but not including 30%	60% refund

¹ "Course" means a *portion* of a program of study covering specific subject matter. [COMAR 13B.01.01.02B(10)]

30% up to but not including 40%
40% up to 50%
More than 50%

40% refund
20% refund
No refund

- ☐ e. The date of withdrawal or termination is the last date of attendance by the student. A refund due a student shall be based on the date of withdrawal or termination and paid within 60 days from the date of withdrawal or termination.
 - ☐ f. In the case of an official leave of absence, if a student fails to return to training by the end of the leave of absence, a refund due a student shall be based on the date of withdrawal or termination and paid within 60 days of the scheduled last day of the leave of absence.
 - ☐ g. Identification of any non-refundable items and applicable criteria [e.g. books must be returned in like-new condition].
 - ☐ h. For a program or portion of a program delivered by distance education, the minimum refund that a school shall pay a student who withdraws or is terminated after the 7-day cancellation period has expired and after instruction has begun shall be prorated based on the proportion of the program completed by the student. The proportion of the program completed via distance education shall be the percentage of submitted lesson assignments completed by the student compared to the total number of lesson assignments in the program or portion of the program delivered by distance education.
-
- ☐ 12. Indication that the student has received a copy of the enrollment contract.
 - ☐ 13. Statement advising student to keep all documents regarding enrollment and financial obligations.
 - ☐ 14. Indication that the student has received a copy of the school's **current** catalog.
 - ☐ 15. Date enrollment contract signed.
 - ☐ 16. Signatures of school official and student, and parent or guardian if student is a minor.
 - ☐ 17. Statement that, in order for the enrollment contract to be binding, the contract must be signed by the applicant, the guardian if applicable, and the school official.
 - ☐ 18. Statement that the enrollment contract may be extended or modified only with the written consent of both the student and the school.
 - ☐ 19. *(If the school participates in a private lending program)* Statement that the student has received a copy of MHEC's private lending brochure.

SCHOOL NAME: _____

**STUDENT'S PERMANENT TRANSCRIPT CHECKLIST
FOR A PRIVATE CAREER SCHOOL**

By regulation (COMAR Section 13B.01.01.11A), *The school shall maintain adequate permanent student records which include:*

- (1) Evidence of compliance with the school's admissions requirements;*
- (2) Credit granted for previous experience or training;*
- (3) Dates of admission, start dates, and withdrawal or completion dates;*
- (4) Reasons for withdrawals when known;*
- (5) Daily attendance;*
- (6) Student transcripts indicating achievements; and*
- (7) Tuition and financial aid records, when applicable.*

Section 13B.01.01.11D requires that: *A school shall maintain accurate and complete records of a student's academic achievement and daily attendance. On a regular basis, which is at least every grading period, the school shall record this information on an approved permanent record form which is maintained in the student's individual file.*

The following revised checklist, approved by the Secretary in 1999, addresses the transcript document only. Please note that the transcript comprises only one document of the student's permanent records. The requirements for the student's permanent records with retention schedule are addressed separately.

To meet minimum standards, all the items listed below must be included on the student's permanent transcript.

- ☐ 1. School's name, street address, and telephone number.
- ☐ 2. Student's name, address, telephone number, and social security number.
- ☐ 3. Name of the program and program length in clock hours, as well as credit hours, if applicable.
- ☐ 4. Credit granted for prior training, if applicable.
 - ☐ a. Source of previous training.
 - ☐ b. Date of previous training.
 - ☐ c. Name of course being credited and clock hours awarded.
- ☐ 5. Program start date.
- ☐ 6. Last date of attendance.

- ☐ 7. Dates of leave of absence, when applicable.
- ☐ 8. Training outcome. Clearly note one of the following and indicate the date.
- ☐ a. Withdrew. Include reason for withdrawal when known.
 - ☐ b. Completed program but ineligible to graduate. Include reason.
 - ☐ c. Graduated. *(If graduated, the record **must** document that **all academic and attendance requirements to graduate have been met**. If applicable, the record must include any required skill proficiencies; i.e., typing speed or CPR certification.)*
- ☐ 9. Academic achievement. The transcript must document the following:
- ☐ a. Grades received for each course or subject in the program. *(Note: If the grade on the mid-term or final is a criterion for program completion, this grade must also be recorded. If the program delivery is by modules, grades for the subject matter areas in each module must be recorded.)*
 - ☐ b. Dates for each course.
 - ☐ c. Cumulative grade point average, if applicable.
 - ☐ d. Performance grade on externship, if applicable.
- ☐ 10. Attendance. *(Note: The Maryland regulations require that a student have a minimum attendance rate of 80% of the total program in order to be graduated from the program.)*
- ☐ a. Daily attendance record.¹
 - ☐ b. Percentage of the total number of scheduled hours attended (attendance rate).
- ☐ 11. Signature line for school official and date.

Comments:

¹ The record of daily attendance may be formatted on the reverse side of the academic record or may be recorded on an accompanying page. If the daily attendance record is maintained on a separate page, an attendance summary, posted each evaluation period, may be formatted with the academic achievement transcript.

SCHOOL NAME: _____

**STUDENT PERMANENT ACCOUNT CARD CHECKLIST
FOR A PRIVATE CAREER SCHOOL**

By regulation (COMAR Section 13B.01.01.11E), *A school shall maintain an accurate, complete, and current record of a student's financial account in a form and manner approved by the Secretary.*

The following is the student permanent account card checklist approved by the Secretary in 1999. To meet minimum standards, all the items listed below must be included with the student permanent account card.

- ☐ 1. School's name, street address, and telephone number.
- ☐ 2. Student's name, address, telephone number, and social security number.
- ☐ 3. Name of program and program length in clock hours.
- ☐ 4. Total charges.
 - ☐ a. Distance education tuition.
 - ☐ b. Resident training tuition.
 - ☐ c. Fees.
 - ☐ d. Books, supplies, equipment.
 - ☐ e. Any other costs, specifically identified.
- ☐ 5. Record of payments.
 - ☐ a. Date of transaction.
 - ☐ b. Type of method of payment.
 - ☐ c. Amount of payment.
 - ☐ d. Running balance.
- ☐ 6. Refund calculation. A section of the account card, or accompanying document, for student refunds for those students canceling or withdrawing before program completion.
 - ☐ a. Last date of attendance.
 - ☐ b. Refund calculation for distance education portion.
 - ☐ c. Refund calculation for resident training portion.
 - ☐ d. Amount of any refund due to student or any balance due to school.
 - ☐ e. Date refund made, if applicable.
 - ☐ f. Name of person, bank, and/or agency to whom the refund was paid.

Comments:

PAYMENT FORM FOR APPLICATION FEE

Payment of the application fee is to be submitted with the Application for Initial Approval to Operate a Private Career School.

Amount of Non-refundable Application Fee:

- **Application with 1-3 programs = application fee of \$300.00.**
- **Application with > 3 programs = application fee of \$300.00 plus an additional \$100 for each program over 3.**

SCHOOL NAME: _____

ADDRESS: _____
Street *City* *State* *Zip*

Federal Tax ID Number or Social Security Number: _____

Please make the payment in the form of a check made payable to the Maryland Higher Education Commission and submit with the application to:

**Maryland Higher Education Commission
Planning and Academic Affairs Division
6 N. Liberty Street, 10th Floor
Baltimore, Maryland 21201**

Name (Typed)

Signature

Title

Date

(Rev. 7/01)

Application Part II Cover Page

**APPLICATION FOR INITIAL APPROVAL TO OPERATE
A PRIVATE CAREER SCHOOL
PART II**

(Part II must be submitted within 6 months of Part I)

1. Name of Proposed School: _____

2. Address: _____
Street *City* *State* *Zip*

3. Telephone #: _____

4. Fax #: _____

5. E-mail: _____

6. Website: _____

7. Contact Information:

7a. Primary person to contact about Application:

7b. Title:

7c. Mailing address if other than above:

7d. Telephone number if other than above:

Date Application Received by MHEC: _____

PART II, TAB A Cover Page

(All required materials for Section A should be included, in order, after this page.)

A. ENROLLMENTS

1. For each proposed program, identify the following:
 - a. The maximum number of students to be enrolled in any one session. (e.g. enrollment in the day or evening session): _____
 - b. The maximum number of students to be enrolled in any one session, distance learning portion ONLY: _____
 - c. The maximum number of students to be enrolled in any one session, resident training ONLY: _____
 - d. The maximum number of students to be enrolled in all sessions of each singular program at any point in time. (e.g. combined enrollment of day and evening sessions): _____
 - e. The maximum number of students to be enrolled in all sessions of each singular program at any point in time, distance learning portion ONLY: _____
 - f. The maximum number of students to be enrolled in all sessions of each singular program at any point in time, resident training portion ONLY: _____
2. For all programs, identify the following:
 - a. The maximum total number of students to be enrolled in any one session. (e.g. enrollment in all programs for the day or evening sessions): _____
 - b. The maximum total number of students to be enrolled in any one session, distance learning portions ONLY: _____
 - c. The maximum total number of students to be enrolled in any one session resident training portions ONLY: _____
 - d. The maximum total number of students to be enrolled in all sessions at any point in time. (e.g. total enrollment of all programs in the day and evening sessions): _____
 - e. The maximum total number of students to be enrolled in all sessions at any point in time, distance learning portions ONLY: _____
 - f. The maximum total number of students to be enrolled in all sessions at any point in time, resident training portions ONLY: _____

PART II, TAB B Cover Page

(All required materials for Section B should be included, in order, after this page.)

B. STAFFING

1. Provide a list of all school faculty and staff including administrators, admissions representatives, and instructors. *(Identify the page(s) in the school catalog where this information is provided.)*
2. Attach a listing of the instructors that identifies which program(s) each instructor will be teaching.
3. Complete and attach the Maryland Higher Education Commission “*Personnel Information Form*” (**Appendix 1**) for each faculty and staff member of the proposed school. Pay particular attention to instructor qualifications to teach distance learning. Attach a resume and a copy of each certification, license, or credential to Personnel Information Form.

PART II, TAB C Cover Page

(All required materials for Section C should be included, in order, after this page.)

C. EQUIPMENT AND OTHER EDUCATIONAL RESOURCES

1. Attach an equipment list that identifies the equipment to be used for each program and that indicates the quantity and age of each item on the list.
 - a. Identify equipment to be utilized for distance learning and a description of how faculty will be trained on the technology.
2. If any of the equipment listed will be utilized for multiple programs, attach a description of how this equipment will be shared.
3. Attach a description of any other educational resources that will support each proposed program. (e.g. library resources, etc.)

PART II, TAB D Cover Page

(All required materials for Section D should be included, in order, after this page.)

D. FACILITY

1. Identify the owner of the facility to be used by the proposed school.
2. Provide a copy of the executed lease between the facility owner and the school owner.
3. Provide verification that the school facility meets the local standards of the fire, health, and zoning departments by attaching the following documents:
 - a. Occupancy permit,
 - b. Documentation of satisfactory inspections by the fire and health departments, and
 - c. A Private Educational Institution (PEI) license issued by the Montgomery County Health Department, if the proposed school is located in Montgomery County.
4. Attach a description of the facility to be utilized by the school. Attach a blueprint or accurate drawing of the floor plan of the proposed school which identifies the dimensions and the designated use of each room (i.e., classroom - 20' x 15'). *By regulation, space in square footage per student must conform to standards of sound educational practice and State/local laws, ordinances and requirements. The minimum square footage per student may vary depending upon the type of training being conducted and other factors, but may not be less than 18 square feet per student.*
5. Attach a current certificate of general liability insurance for the school. Include a copy of the binder showing the specific categories and amounts of coverage. *(NOTE: general liability insurance is not professional liability insurance. General liability insurance typically addresses claims of bodily injury or property damage. Professional liability insurance pertains to negligence associated with professional services.)*
6. Attach a current certificate of workers' compensation insurance for the school. *(NOTE: Maryland law requires employers to secure compensation for covered employees. See Annotated Code of Maryland, Labor and Employment Article, Title 9, Workers' Compensation.)*

PART II, TAB E Cover Page

(All required materials for Section E should be included, in order, after this page.)

E. ANNUAL PROJECTIONS OF ENROLLMENTS, EXPENSES, AND INCOME

1. Provide as an attachment a projection of the total student enrollment for each program during the first year of operation.
2. Attach an annual projection of income for the school's first year of operation, using the enclosed form (**Appendix 2**) or a comparable form. Base tuition income on projected total annual enrollments for the school deducting for tuition lost due to anticipated student attrition and delinquent student accounts.
3. Attach an annual projection of expenditures for the school's first year of operation, using the enclosed form (**Appendix 3**) or a comparable form.

PART II, TAB F Cover Page

(All required materials for Section F should be included, in order, after this page.)

F. PAYMENT INTO THE GUARANTY STUDENT TUITION FUND (GSTF)

1. Enclose the required GSTF payment with this application (**Appendix 4**). Make the check or money order payable to the Maryland Higher Education Commission.
2. Complete and submit the enclosed “*Payment Form for the Guaranty Student Tuition Fund Payment*” (**Appendix 4**).

PART II, TAB G Cover Page

G. AFFIDAVIT

I do solemnly declare and affirm under the penalty of perjury that the contents of the foregoing document are true and correct.

Name & Title of Chief Executive Officer (typed)

Signature

Date

Maryland Higher Education Commission
PERSONNEL FORM FOR PRIVATE CAREER SCHOOL
FACULTY, STAFF, AND ADMINISTRATORS

Appendix 1

- Complete the electronic personnel form by clicking in the small grey box to begin typing. **A resume is not a substitute for a completed form.**
- If additional space is required, please continue your response on a separate page, identify the question being answered, and attach the page to this form.
- By Maryland regulations, “*The qualifications of staff shall be documented in their personnel files, including but not limited to evidence of formal educational attainment, certificates and degrees earned, and relevant experience.*”

1. School Name:			
2. School Address:			

Street

City

State

Zip

3. Employee Name:			
--------------------------	--	--	--

Last

First

M.I.

Previous Last Name

4. Employee's Permanent Address:			
---	--	--	--

Street

City

State

Zip

5. Employee's Telephone Number:	6. E-mail Address:
--	---------------------------

7. SSN:	8. Birth Date:	9. Sex: <input type="checkbox"/> Male <input type="checkbox"/> Female
----------------	-----------------------	--

Month/Year

10. Position at School:	11. <input type="checkbox"/> Full-Time <input type="checkbox"/> Part-Time
--------------------------------	--

12. Date of Initial Employment:	13. Hours per week:
--	----------------------------

Month/Day/Year

14. You must be legally authorized to work under the United States Immigration Reform and Control Act of 1986. Are you a US citizen or legal resident alien? <input type="checkbox"/> Yes <input type="checkbox"/> No
--

15. Do you have a high school diploma or GED? <input type="checkbox"/> Yes <input type="checkbox"/> No	16. High school attended:
---	----------------------------------

17. City/State of high school:	18. Date of high school graduation or GED:
---------------------------------------	---

City

State

Month/Year

19. List your primary duties at the School, including all subjects you are assigned to teach. Identify the approximate percentage of your total work time that each function constitutes.
--

Primary Duties (including all subjects taught)	% of Time Allocated to Each Function
	%
	%
	%
	%
	%

20. List below all of your postsecondary education including coursework at career schools, colleges and universities. By Maryland regulations, “*Instructors shall demonstrate up-to-date knowledge and continuing study of the field they are teaching. Instructors must possess, and have maintained for a minimum of 2 years, at least the level of licensure, certification, or credential for which the program they are instructing prepares graduates.*”

Name & Location of Educational Institutions	Dates Attended		Major or Major Subject	Graduated		Degree, Certificate or License and Date Received	Hours Completed
	From	To		Yes	No		
				<input type="checkbox"/>	<input type="checkbox"/>		
				<input type="checkbox"/>	<input type="checkbox"/>		
				<input type="checkbox"/>	<input type="checkbox"/>		
				<input type="checkbox"/>	<input type="checkbox"/>		

21. List below any certificate(s) or license(s) now held. **(A copy of each certificate/license MUST be attached.)**

By Maryland regulation, “*Instructor must possess, and have maintained for a minimum of 2 years, at least the level of licensure, certification, or credential for which the program they are instructing prepares graduates.*”

Name of Certificate/License	Entity that Issued Certificate/License	Date Received	Expiration Date

22. List any other courses or workshops directly related to your position at the school that you have completed in the past 5 years. Include the dates of attendance.

Courses or Workshops	Dates of Attendance

23. Employment Information: List each position you have held, beginning with the most recent. **(Attach any additional pages.)**

By Maryland regulation, “Instructors shall have a minimum of 2 years of successful practical experience in the occupation or subject or its equivalent in formal training beyond the standard learning period recognized for the trade or occupation they are to teach ”.

1. Name of Employer:

Employer's Address (Street, City, State, Zip):

Type of Business:

Your Job Title:

Supervisor's Name and Phone Number:

Dates of Employment: **From:**

To:

☐ Full-Time

☐ Part-Time

Job Duties:

Reason for Leaving:

2. Name of Employer:

Employer's Address (Street, City, State, Zip):

Type of Business:

Your Job Title:

Supervisor's Name and Phone Number:

Dates of Employment: **From:**

To:

☐ Full-Time

☐ Part-Time

Job Duties:

Reason for Leaving:

3. Name of Employer:

Employer's Address (Street, City, State, Zip):

Type of Business:

Your Job Title:

Supervisor's Name and Phone Number:

Dates of Employment: **From:**

To:

☐ Full-Time

☐ Part-Time

Job Duties:

Reason for Leaving:

4. Name of Employer:

Employer's Address (Street, City, State, Zip):

Type of Business:

Your Job Title:

Supervisor's Name and Phone Number:

Dates of Employment: **From:**

To:

☐ Full-Time

☐ Part-Time

Job Duties:

Reason for Leaving:

24. Required for Instructors:

Summarize below your education, licensure/certification, teaching experience, and employment that directly relates to your area of instruction at the school and qualifies you to be an instructor at a Maryland private career school.

a) Education, licensure, and certification directly related to your area of instruction:

b) Teaching experience directly related to your area of instruction:

c) Employment directly related to your area of instruction:

d) Qualifications (education, training, experience and employment) related to teaching via distance education.

25. Required of School Director:

Summarize below your education and employment that directly relates to the administration of the school and qualifies you to be a director of a Maryland private career school.

a) Education directly related to the administration of the school:

b) Employment directly related to the administration of the school:

26. To be answered by all:

By Maryland regulations, *"The owner or owners and employees of an applicant for approval or of a school shall have a demonstrated history of ethical personal and professional practices"*.

a) Have you ever been convicted of any violation of the law except for minor traffic violations?

☐ Yes ☐ No If "Yes", explain:

b) Have you ever been named in connection with financial aid fraud, post office fraud or a school's FTC citation?

☐ Yes ☐ No If "Yes", explain:

27. Required of School Sales Representatives:

a) Have you ever been denied a permit issued by a state to represent or solicit students on behalf of a school?

☐ Yes ☐ No If "Yes", explain:

b) Have you ever been named in connection with financial aid fraud, post office fraud or a school's FTC citation?

☐ Yes ☐ No If "Yes", explain:

Affidavits by Employee and School Owner or School Director:

“I hereby certify that I have reviewed the information given on this form and any attachments and thereby certify that it is complete and correct to the best of my knowledge.”

NOTE: This signature page must be mailed or faxed in order to have the written signatures on file.

Signature of Employee

Date

Name of School Owner or Director

Title of School Owner or Director

Signature of School Owner or School Director

Date

MARYLAND HIGHER EDUCATION COMMISSION

6 N. Liberty Street • 10th Floor • Baltimore, MD 21201

T 410.767.3301 • 800.974.0203 • F 410.332.0270 • TTY for the Deaf 800.735.2258

www.mhec.state.md.us

**INCOME
ONE -YEAR PROJECTION**

<u>ITEMIZATION OF INCOME</u>	<u>AMOUNT</u> (in whole dollars)
Tuition ¹	\$
Fees	\$
Books sold to students, if applicable	\$
Supplies and materials sold to students, if applicable	\$
All additional income (please itemize below)	\$
TOTAL	\$ _____

Comments: _____

Itemizations: _____

¹ Reduce tuition to reflect anticipated student attrition and delinquent student accounts.

**EXPENDITURES
ONE -YEAR PROJECTION**

ITEMIZATION OF EXPENDITURES		AMOUNT (in whole dollars)
Salaries and Benefits		
	Salaries	\$
	Staff Benefits	\$
Recruitment and Marketing		
	Advertising	\$
	Postage	\$
	Telephone	\$
	All Other	\$
Equipment and Facility		
	Equipment	\$
	Utilities	\$
	Mortgage or rent	\$
	Insurance	\$
	Maintenance and repairs	\$
	Other equipment and facility expenses	\$
Books, Materials and Supplies		
	Books	\$
	Materials and supplies	\$
Financial Obligations		
	Any loans	\$
	Capital Stock	\$
	Refunds to Withdrawn Students	\$
	Other Financial Obligations	\$
Taxes		
	State and local taxes	\$
	Federal taxes	\$
	Employee payroll taxes	\$
	Any other taxes	\$
Any Additional Expenditures (identify below)		
		\$
		\$
		\$
		\$
TOTAL EXPENDITURES		\$ _____

SCHOOL NAME:

Federal Tax ID Number or Social Security Number:

**Maryland Higher Education Commission
Planning and Academic Affairs Division, PCS
6 N. Liberty Street, 10th Floor
Baltimore, Maryland 21201**

I do solemnly declare and affirm under the penalties of perjury that the foregoing document is true and correct.

Signature

Date