

H. FFATA REPORTING FORM AND INFORMATION

The FFATA Act

Authorization - S. 2590 The text of the legislation can be found on the web address below.

http://frwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=109_cong_bills&docid=f:s2590enr.txt.pdf

The Federal Funding Accountability and Transparency Act (FFATA) was signed on September 26, 2006. The intent is to empower every American with the ability to hold the government accountable for each spending decision. The end result is to reduce wasteful spending in the government. The FFATA legislation requires that information on federal awards (federal financial assistance and expenditures) be made available to the public via a single, searchable website. Federal awards include grants, sub-grants, loans, awards, cooperative agreements and other forms of financial assistance as well as contracts, subcontracts, purchase orders, task orders, and delivery orders. The legislation does not require inclusion of individual transactions below \$25,000 or credit card transactions before October 1, 2008.



MHEC
Creating a state of achievement

2015 Improving Teacher Quality State Grants - CFDA 84.367B

Federal Funding Accountability and Transparency Act Sub-award Reporting Form

In accordance with the Federal Funding Accountability and Transparency Act, sub-grantees are required to report the following information to the Maryland Higher Education Commission (MHEC) to receive funding. **All forms must be completed and returned to MHEC for reporting no later than November 24, 2014.** Original forms MUST be mailed to Maryland Higher Education Commission, 6 N Liberty Street, Baltimore, Maryland 21201. Attention: Andrenette Mack Augins, Outreach and Grants Management, 10th Floor.

Name of entity receiving award [Click here to enter text.](#)

1. Amount of award [Click here to enter text.](#)
2. Funding agency [Click here to enter text.](#)
3. CFDA program number for grants [Click here to enter text.](#)
4. Program source [Click here to enter text.](#)
5. Award title and descriptive of the purpose of the funding action [Click here to enter text.](#)
6. Location of the entity (including city, state, and congressional district) [Click here to enter text.](#)
7. Place of performance (including city, state, and congressional district) [Click here to enter text.](#)
8. Unique identifier of the entity and its parent (i.e. DUNS#); and [Click here to enter text.](#)
9. Total compensation and names of top five executives *if*:
 - a. More than 80% of annual gross revenues from the Federal government, and those revenues are greater than \$25M annually *and*
 - b. Compensation information is not already available through reporting to the SEC.

Name	Compensation Total
1.	\$
2.	\$
3.	\$
4.	\$
5.	\$

Classified information is exempt from the prime and sub-award reporting requirement as are contracts with individuals.

Signature _____

Date _____

Fiscal Officer



Frequently Asked Questions About FFATA

What Does FFATA Require?

- ▶ The Federal Funding Accountability and Transparency Act (FFATA, or the Transparency Act) of 2006 and subsequent 2008 amendments requires:
 - Information disclosure of entities (MHEC) receiving Federal funding through Federal awards such as Federal contracts and their sub-contracts, as well as Federal grants and their sub-grants (Improving Teacher Quality Grant Program Sub-Grantees)
 - Disclosure of executive compensation for certain entities and sub awardees (MHEC and Improving Teacher Quality Grant Program Sub-Awardees)
 - The establishment of a publicly available, searchable website that contains information about each Federal award
 - Agencies to comply with OMB guidance and instructions and assist OMB in implementation of website

What New Reporting Is Required?

- ▶ **(MHEC) Prime grant awardees of Federal grants of \$25K or more must report associated grant first-tier sub-grants (Improving Teacher Quality Grant Program Grantees) of \$25K or more (effective October 1, 2010)**

What Is the Specific New Information required for reporting?

- ▶ Sub-award (Improving Teacher Quality Grant Program Sub-Awardee) Information Required for FFATA Reporting:
 - Name of entity receiving award
 - Amount of award (obligated amount)
 - Funding agency
 - NAICS code (<http://www.census.gov/eos/www/naics/>)
 - Program source
 - Award title descriptive of the purpose of the funding action
 - Location of the entity (including congressional district)
 - Place of performance (including congressional district)
 - Unique identifier of the entity and its parent; and
 - Total compensation and names of top five executives (prime or sub-awardee)

What about Executive Compensation?

- ▶ Prime awardees (MHEC) must report executive compensation information for prime and/or sub-awardees if in the preceding fiscal year:

(1) The organization received 80% or more of its annual gross revenues in Federal awards and those revenues are greater than \$25 million annually, and

(2) The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986.

NOTE: Classified information remains exempt from the prime and sub-award reporting requirements

- ▶ Agencies must report prime award information
- ▶ Prime awardees (MHEC) must report first-tier sub-award information and executive compensation information

How Long Does MHEC Have To Report?

Prime awardees (MHEC) must report first-tier sub-award (Improving Teacher Quality Grant Program Grantee) information by the end of the month following the month the award or award's obligation was made

- ▶ For example, if a (Improving Teacher Quality Grant Program Grant) sub-award is made on December 13, 2014 the prime awardees (MHEC) would have until January 13, 2015 to report the sub-award.